

On Randomized Versus Deterministic Computation^{*}

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Abstract. In contrast to deterministic or nondeterministic computation, it is a fundamental open problem in randomized computation how to separate different randomized time classes (at this point we do not even know how to separate linear randomized time from $\mathcal{O}(n^{\log n})$ randomized time) or how to compare them relative to corresponding deterministic time classes. In other words we are far from understanding the power of *random coin tosses* in the computation, and the possible ways of simulating them deterministically.

In this paper we study the relative power of linear and polynomial randomized time compared with exponential deterministic time. Surprisingly, we are able to construct an oracle A such that exponential time (with or without the oracle A) is simulated by linear time Las Vegas algorithms using the oracle A . For Las Vegas polynomial time (ZPP) this will mean the following equalities of the time classes:

$$ZPP^A = EXPTIME^A = EXPTIME (= DTIME(2^{poly})).$$

Furthermore, for all the sets $M \subseteq \Sigma^*$:

$$M \leq_{UR} \bar{A} \iff M \in EXPTIME$$

(\leq_{UR} being unfaithful polynomial random reduction, c.f. [Jo 90]).

Thus \bar{A} is \leq_{UR} complete for $EXPTIME$, but interestingly not NP-hard under (deterministic) polynomial reduction unless $EXPTIME = NEXPTIME$. We also prove, for the first time, that randomized reductions are exponentially more powerful than deterministic or nondeterministic ones (cf. [AM 77]). Moreover, a set B is constructed such that Monte Carlo polynomial time (BPP) under the oracle B is exponentially more powerful than deterministic time with nondeterministic oracles, more precisely:

$$BPP^B = \Delta_2 EXPTIME^B = \Delta_2 EXPTIME (= DTIME(2^{poly})^{NTIME(n)}).$$

This strengthens considerably a result of Stockmeyer [St 85] about the polynomial time hierarchy that for some decidable oracle B , $BPP^B \not\subseteq \Delta_2 P^B$. Under our oracle BPP^B is exponentially more powerful than $\Delta_2 P^B$, and B does not add any power to $\Delta_2 EXPTIME$. One of the consequences of this result is that under oracle B , $\Delta_2 EXPTIME$ has polynomial size circuits.

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1 Randomized Computation

A probabilistic Turing machine (PTM) is a standard Turing machine with the ability to *toss a random coin*, and can be viewed as a nondeterministic machine with a different accepting condition: an input $x \in \Sigma^*$ is accepted (in time $T(n)$) if more than a half of the computations (of length $T(|x|)$) are accepting.

The probability of accepting (rejecting) can be defined as the fraction of accepting (rejecting) paths in the normalized computation tree (i.e., all the paths have the same number of binary branching points). We will restrict ourselves to machines with a clock: all computations have the length at most $T(|x|)$.

We shall study the following classes of probabilistic (bounded error) Turing machines:

- **Monte Carlo machines** (Bounded error PTMs, **MTMs**)
Any input is accepted either with probability $> \frac{3}{4}$ or with probability $< \frac{1}{4}$.
- **Randomized machines** (one sided error PTMs, **RTMs**):
Any input is accepted with probability $> \frac{3}{4}$ or 0.
- **Las Vegas machines** (zero error PTMs, **ZPTMs**):
Any input is either accepted with probability $> \frac{3}{4}$ and rejected with probability 0 or it is rejected with probability $> \frac{3}{4}$ and accepted with probability 0.

We denote the corresponding complexity classes by

$$\begin{aligned} PrTIME(T) &= \{L(\mathcal{M}) \mid \mathcal{M} \text{ is an } \mathcal{O}(T)\text{-bounded PTM}\} \\ BPTIME(T) &\quad (\text{same for MTMs}) \\ RTIME(T) &\quad (\text{same for RTMs}) \\ ZPTIME(T) &\quad (\text{same for ZPTMs}) \end{aligned}$$

Other than in the deterministic case it is not clear that the “linear speed up” is valid for Monte Carlo, Randomized, and Las Vegas machines.

The polynomial time classes are denoted as usual by

$$PP (= \bigcup_k PrTIME(n^k)), BPP, RP, \text{ and } ZPP.$$

All these machines can be relativized in a canonical way. The relativized machines, sets, complexity classes with oracle A are (as usual) denoted by \mathcal{M}^A , $L(\mathcal{M}^A)$, e.g. BPP^A ; if C is a set of oracle sets, the union of relativized classes with oracle $A \in C$ is denoted by superscript C (e.g. $BPP^{NP} = \bigcup_{A \in NP} BPP^A$).

Other than deterministic or nondeterministic machines, PTMs with bounded error (MTMs, RTMs, or ZPTMs) cannot be described by the syntactical properties only. The MTMs (RTMs, ZPTMs) form nonenumerable subsets of the PTMs. Thus ZPP , RP and BPP have probably no complete sets. Therefore, we do not have any method for proving that $BPTIME(n) \neq BPTIME(n^{\log n})$ [KV 88] and we cannot exclude the situation that (at least under some oracle) $ZPTIME(n) = BPP$. In [FS 89] the existence of such an oracle is claimed but unfortunately the construction used in the proof seems to have an irreparable flaw [F 92]. The paper [FS 89] was also a starting point of our investigation.

A related notion of a probabilistic Turing machine with an oracle was introduced recently by A. Yao in a context of program checkers [Y 90].

Under the random oracle BPP (and RP , ZPP) equals P and reasonable hierarchy theorems are valid ([BG 81]). Most researchers believe that the power of ZPP does not (or not by much) exceed P . BPP is included in Σ_2^P ([S 83]) and thus in the polynomial hierarchy. On the other hand, under some oracle, $BPP \not\subseteq \Delta_2^P$ [St 85]. We will show that under appropriate oracles $ZPP = EXPTIME$ and $BPP = \Delta_2 EXPTIME$. This means: under some oracle the zero-error PTMs are exponentially more powerful than their deterministic counterparts, and bounded error PTMs are exponentially more powerful than nondeterministic machines.

The results have also consequences for the unrelativized world: we can show that the Las Vegas reductions are exponentially more powerful than deterministic reductions, and the Monte Carlo reductions are exponentially more powerful than γ -reductions.

We will need a generalization of the well known polynomial hierarchy (in a relativized version):

$$\begin{aligned} \Sigma_0 TIME(T)^A &= \Pi_0 TIME(T)^A = \Delta_0 TIME(T)^A = DTIME(T)^A \\ \Delta_{k+1} TIME(T)^A &= DTIME(T)^{\Sigma_k TIME(n)^A} \\ \Sigma_{k+1} TIME(T)^A &= NTIME(T)^{\Sigma_k TIME(n)^A} \\ \Pi_{k+1} TIME(T)^A &= co-NTIME(T)^{\Sigma_k TIME(n)^A} \\ &= \{\Sigma^* \setminus A \mid A \in \Sigma_k TIME(T)^A\} \\ \nabla_k TIME(T)^A &= \Sigma_k TIME(T)^A \cap \Pi_k TIME(T)^A. \end{aligned}$$

To avoid confusion with oracle classes we prefer $\Sigma_k P$ etc. for the classes of the polynomial hierarchy $\Sigma_k P = \bigcup_i \Sigma_k TIME(n^i)$; e.g. $NP = \Sigma_1 P$, $NP \cap co-NP = \nabla_1 P$. It is easy to see that for all at least linearly increasing T

$$\Sigma_{k+1} TIME(T)^A \supseteq NTIME(n)^{\Sigma_k TIME(T)^A}$$

and this inclusion is strict for some oracle A .

Let $EXTIME$ denote $\bigcup_k DTIME(2^{kn})$, and let $NEXTIME$, $BPEXTIME$, $\Sigma_k EXTIME$, etc. denote the other exponential time classes. In the same way let $EXPTIME$ ($NEXPTIME$ etc.) denote $\bigcup_k DTIME(2^{n^k})$ ($\bigcup_k NTIME(2^{n^k})$ etc.). Sometimes we shall abbreviate $EXPTIME$ by E , and $NEXPTIME$ by NE etc.

The containments between the various classes are shown in Figure 1. For most of them a strict containment is not known. Possible (up to the best of our knowledge) maximal collapses are

- (1) $P = PSPACE$
- (2) $ZPP = E$
- (3) $BPP = \Delta_2 E$

These three equalities are in fact true under special oracles: (1) holds under any $PSPACE$ -complete oracle C . In this paper we present oracles such that (2) or (3), respectively, hold.

2 Oracle A with $ZPP^A = EXPTIME^A = EXPTIME$

We will construct an oracle A such that for all deterministic oracle machines \mathcal{M}_i running in time 2^n and all $x \in \Sigma^*$ with $|x| = n > i$

$$\begin{aligned} x \notin L(\mathcal{M}_i^A) &\implies \forall \rho \in \Sigma^{4n}, \langle i, x, \rho \rangle \notin A \\ x \in L(\mathcal{M}_i^A) &\implies \#\{\rho \in \Sigma^{4n} \mid \langle i, x, \rho \rangle \in A\} > \frac{3}{4} \cdot 2^{4n} \end{aligned}$$

This set A will have the property

$$DTIME(2^n)^A \subseteq DTIME(2^{6n}).$$

By standard padding arguments we can then conclude

Theorem 1.

There exists an oracle A , such that

$$\begin{aligned} ZPTIME(n)^A &= EXTIME^A = EXTIME, \\ ZPP^A &= EXPTIME^A = EXPTIME. \end{aligned}$$

The (surprisingly simple) construction uses the fact, that deterministic exponential time machines cannot query all oracle strings of linear length.

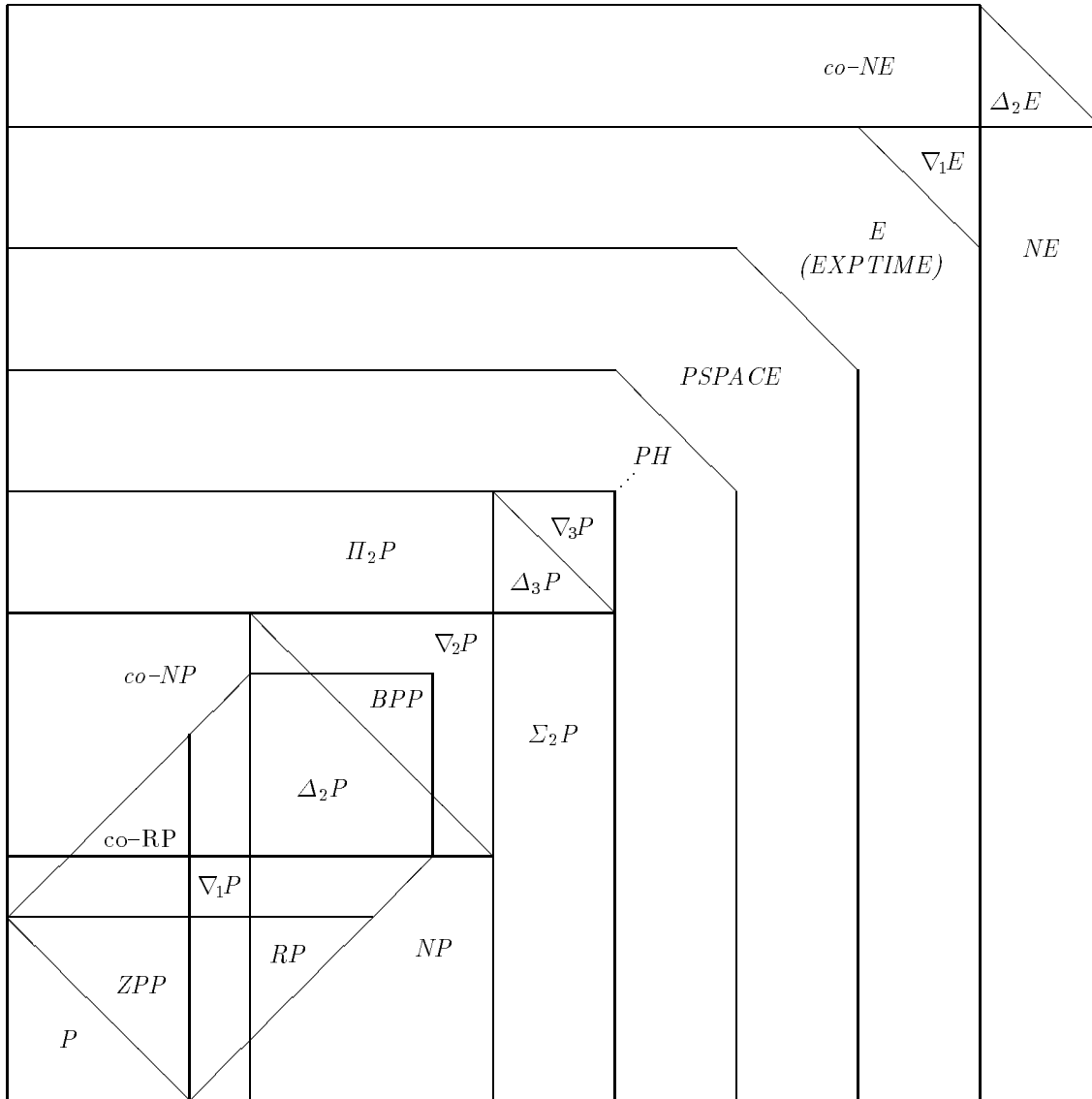


Fig.1

First of all some notations:

- $\langle i, x, \rho \rangle$ will denote the string $^i x \$ \rho$. The oracles will be subsets of $\{0, 1, \$\}^* = (\Sigma \cup \{\$\})^*$.

- The following ordering of pairs $(i, x) \in \mathbb{N} \times \Sigma^*$ is used:
 $(i, x) < (j, y)$ if one of the following holds:
 - (1) $|x| < |y|$
 - (2) $|x| = |y|$ and $x < y$ (lexicographically)
 - (3) $x = y$ and $i < j$.
 (Restricted to pairs (i, x) with $i < |x|$ this is a linear ordering of (ordinal) type ω .)

Without loss of generality we restrict ourselves to the input alphabet $\Sigma = \{0, 1\}$.

Construction of the Oracle A

A is constructed in stages following the above defined ordering. The (initially empty) set A is augmented during the construction at stage (i, x) by strings $\langle i, x, \rho \rangle$, when $x \in L(\mathcal{M}_i^A)$. Queries “ $\langle i, x, \rho \rangle \in A?$ ” on previous stages are answered ‘no’ and recorded in a set D ; these are not changed when “ $x \in L(\mathcal{M}_i^A)$ ” is encoded.

Stage $(0, \epsilon)$: $A := \emptyset$; $D := \emptyset$.

Stage (i, x) , $i < |x|$:

Simulate at most $2^{|x|}$ steps of $\mathcal{M}_i^A(x)$.

If \mathcal{M}_i^A asks “ $\langle j, y, \rho \rangle \in A?$ ”, $(j, y) > (i, x)$, and $|\rho| = 4 \cdot |y|$,
 then $D := D \cup \{\langle j, y, \rho \rangle\}$ (i.e., $\langle j, y, \rho \rangle \notin A$ is fixed).

If \mathcal{M}_i^A accepts x , then $A := A \cup (\{\langle i, x, \rho \rangle \mid |\rho| = 4 \cdot |x|\} \setminus D)$.

□

Lemma 1.

For all i, x ($i < |x|$) the following holds:

- (1) If \mathcal{M}_i^A accepts $x \in \Sigma^n$ within 2^n steps, then $\langle i, x, \rho \rangle \notin A$ for at most $2n \cdot 2^{2n}$ strings $\langle i, x, \rho \rangle$ with $|\rho| = 4n$.
- (2) If \mathcal{M}_i^A does not accept $x \in \Sigma^n$ within 2^n steps, then $\langle i, x, \rho \rangle \notin A$ for all ρ .

Proof. Though the oracle is changed during the construction, all oracle queries are answered consistently. A new string is added to A only if it was not queried during previous steps.

- (1) If \mathcal{M}_i^A accepts $x \in \Sigma^n$ within the time bound, all strings $\langle i, x, \rho \rangle$ not in D with $|\rho| = 4n$ are added to A . D contains all strings $\langle i, x, \rho \rangle$ queried in earlier stages. Since there are less than $n \cdot 2^{n+1}$ earlier stages and on each stage at most 2^n strings are queried, $\#D < 2n \cdot 2^{2n}$.
- (2) is obvious, since $\langle i, x, \rho \rangle$ is added to A only if \mathcal{M}_i^A accepts x within 2^n steps. \square

Our next lemma shows that A is not only decidable in exponential time, but A does not add much power to deterministic time bounded machines. A universal set for all sets decidable in time 2^n with oracle A is itself decidable in exponential time. (From “ $A \in DTIME(2^n)$ ” we could only conclude “ $DTIME(2^n)^A \subseteq DTIME(2^n)^{DTIME(2^n)} = DTIME(2^{2^n})$ ”.)

Lemma 2.

$L_A := \{\$^i x \mid \mathcal{M}_i^A \text{ accepts } x \text{ in time } 2^{|x|}, |x| > i\} \in DTIME(2^{6n})$.

Proof. We construct a machine \mathcal{M} which accepts L_A (without oracle) in time 2^{6n} .

On input $\$^i x$ ($i < |x|$) \mathcal{M} simulates all $\mathcal{M}_j^A(y)$ ($(j, y) \leq (i, x)$, $j < |y|$) for $2^{|y|}$ steps in the order of the oracle construction, recording the set D (as list of oracle strings) and the outcome of these machines. Oracle queries “ $a \in A?$ ” are replaced by the following procedure:

- (1) If a has not the form $\langle k, z, \rho \rangle$ with $|z| > k$ and $|\rho| = 4|z|$, then $a \notin A$.
- (2) Otherwise, if $a = \langle k, z, \rho \rangle$ and $(k, z) \geq (j, y)$, then $a \notin A$. If $a \notin D$, $D := D \cup \{a\}$.
- (3) Otherwise, if $a \in D$, then $a \notin A$.
- (4) Otherwise ($a = \langle k, z, \rho \rangle$, $(k, z) < (j, y)$, $a \notin D$), $a \in A \iff \mathcal{M}_k^A$ accepts z (which was recorded at the end of the stage (k, z)).

If $\mathcal{M}_j^A(y)$ enters an accepting configuration, this fact is recorded and the next machine is simulated. After $2^{|y|}$ steps of simulation we know that $\mathcal{M}_j^A(y)$ does not accept within the time bound and record this fact. At the end of the stage (i, x) we know whether or not \mathcal{M}_i^A accepts x within the time bound and thus have decided “is $\$^i x \in L_A?$ ”.

Thus \mathcal{M} accepts L_A .

On stage (i, x) , D contains at most $2^{|x|} \cdot 2^{2^{|x|}}$ strings of length at most $2^{|x|}$. Thus the simulation of a single oracle query costs $\mathcal{O}(|x| \cdot 2^{3^{|x|}})$ steps. The other simulation steps are cheaper. Thus the simulation of $\mathcal{M}_j^A(y)$ ($(j, y) \leq (i, x)$) can be done in $\mathcal{O}(|x| \cdot 2^{4^{|x|}})$ steps, which yields the total costs for all stages up to (i, x) of $\mathcal{O}(|x|^2 \cdot 2^{5^{|x|}}) \subseteq \mathcal{O}(2^{6^{|x|}})$. \square

Proof of Theorem 1.

– “ $EXTIME^A = EXTIME$ ”

Suppose $L \in EXTIME^A$. Then there is an oracle machine \mathcal{M}_i^A and some k such that \mathcal{M}_i^A decides L within $2^{k \cdot n}$ steps. Let $L' = \{x10^m \mid x \in L, m \geq k \cdot |x|\}$ be the appropriately padded set. Then $y = x10^m \in L'$ can be decided by some \mathcal{M}_j^A in time $|y| + 2^m \leq 2^{|y|}$.

Hence by Lemma 2,

$$\begin{aligned} L &= \{x \mid \exists^j x10^{k \cdot |x|+j} \in L_A\} = \{x \mid x10^{k \cdot |x|+j} \in L'\} \\ &\in DTIME(2^{6(2j+(k+1) \cdot |x|)}) = DTIME(2^{6(k+1) \cdot |x|}) \\ &\subseteq EXTIME. \end{aligned}$$

– “ $ZPTIME(n)^A \supseteq EXTIME^A$ ”

Since $EXTIME^A$ is closed under complement and

$ZPTIME(n)^A = RTIME(n)^A \cap co-RTIME(n)^A$, it is sufficient to show “ $RTIME(n)^A \supseteq EXTIME^A$ ”.

Suppose $L \in DTIME(2^{k \cdot n})^A$.

Let $L' = L(\mathcal{M}_j^A)$ as above, \mathcal{M}_j^A runs in time 2^n . An R-machine \mathcal{M} accepts $L = \{x \mid x10^{k \cdot |x|+j} \in L'\}$ as follows:

On input x , \mathcal{M} computes $y = x10^{k \cdot |x|+j}$. Then \mathcal{M} chooses a random string ρ of length $4 \cdot |y|$. \mathcal{M} accepts iff $\langle j, y, \rho \rangle \in A$.

Obviously, \mathcal{M} runs in time $\mathcal{O}(n)$. From Lemma 1 we conclude for all x :

$$\begin{aligned} x \notin L &\implies y \notin L' \implies \forall \rho \langle j, y, \rho \rangle \notin A \implies \text{Prob}[\langle j, y, \rho \rangle \in A] = 0, \\ x \in L &\implies \text{Prob}[\langle j, y, \rho \rangle \in A] > \frac{3}{4}. \end{aligned}$$

– “ $ZPTIME(n)^A \subseteq EXTIME^A$ ” is obvious:

$$ZPTIME(n)^A \subseteq PrTIME(n)^A \subseteq DTIME(2^{\mathcal{O}(n)})^A$$

for any oracle A .

The corresponding statements for ZPP^A , $EXPTIME^A$, and $EXPTIME$ are proved in the same way using polynomial instead of linear padding. \square

3 Oracle B with $BPP^B = \Delta_2 EXPTIME^B = \Delta_2 EXPTIME$

The construction of the oracle B will follow a similar idea as for the oracle A . The main difficulty now is that we have to introduce strings $\langle i, x, \rho \rangle$ into the oracle before $\mathcal{M}_i^B(x)$ is encoded. This will yield a small two-sided error for the probabilistic machine.

B will have the property that for all Δ_2 -oracle machines \mathcal{M}_i running in time 2^n and all x with $|x| = n > i$ the following holds:

$$\begin{aligned} x \in L(\mathcal{M}_i^B) &\implies \#\{\rho \in \Sigma^{4n} \mid \langle i, x, \rho \rangle \in B\} > \frac{3}{4} \cdot 2^{4n} \\ x \notin L(\mathcal{M}_i^B) &\implies \#\{\rho \in \Sigma^{4n} \mid \langle i, x, \rho \rangle \in B\} < \frac{1}{4} \cdot 2^{4n}. \end{aligned}$$

Furthermore

$$\Delta_2 TIME(2^n)^B \subseteq \Delta_2 TIME(2^{21n}).$$

Again we can conclude

Theorem 2.

There exists an oracle B , such that

$$\begin{aligned} BPTIME(n)^B &= \Delta_2 EXTIME^B = \Delta_2 EXTIME, \\ BPP^B &= \Delta_2 EXPTIME^B = \Delta_2 EXPTIME. \end{aligned}$$

Construction of the Oracle B

During the construction we record all oracle queries in (initially empty) sets B (strings with positive answer) and C (strings with negative answer), $B \cap C = \emptyset$. $E = \{0, 1, \$\}^* \setminus (B \cup C)$ contains all strings with yet undetermined outcome.

Recall that a Δ_2 -machine with an oracle X is a deterministic machine which can query arbitrary nondeterministic linear time machines with the oracle X . We will process a query to such a machine as follows. If it is possible to define the not (yet) fixed portion of the oracle (the set E) in such a way that the queried nondeterministic machine accepts, then we fix this behavior by moving at most 2^n oracle strings from E to either B or C .

Let us denote the j -th nondeterministic linear time machine with oracle X by \mathcal{N}_j^X .

Stage (0, ϵ):

$B := \emptyset;$

$E := \{\langle i, x, \rho \rangle \mid |\rho| = 4 \cdot |x|, i < |x|\};$

$C := \{0, 1, \$\}^* \setminus E;$

Stage (i, x) ($i < |x|$):

Simulate up to $2^{|x|}$ steps of $\mathcal{M}_i(x)$ in the following way:

If $\mathcal{M}_i(x)$ queries “ $y \in L(\mathcal{N}_j^B)?$ ”, do the following:

If there is a set $D \subseteq E$ such that $y \in L(\mathcal{N}_j^{B \cup D})$, then $\mathcal{N}_j^{B \cup D}$ has at least one accepting path of length $|y|$. Suppose F is the set of all oracle queries on such a path. Set $B := B \cup (F \cap D); C := C \cup (F \cap (E \setminus D)); E := E \setminus F$. Otherwise, $y \notin L(\mathcal{N}_j^{B \cup D})$ for all $D \subseteq E$.

If \mathcal{M}_i accepts x , encode this fact and go to the next stage:

$B := B \cup \{\langle i, x, \rho \rangle \in E\}; E := E \setminus B.$

If \mathcal{M}_i rejects x or does not accept x within $2^{|x|}$ steps, encode this and go to the next stage:

$C := C \cup \{\langle i, x, \rho \rangle \in E\}; E := E \setminus C.$

□

Lemma 3.

Suppose B is constructed as described above. Then for all i, x ($i < |x|$) the following holds:

- (1) *If \mathcal{M}_i^B accepts $x \in \Sigma^n$ within 2^n steps, then $\langle i, x, \rho \rangle \notin B$ for at most $2n \cdot 2^{3n}$ strings $\langle i, x, \rho \rangle$ with $|\rho| = 4n$.*
- (2) *If \mathcal{M}_i^B does not accept $x \in \Sigma^n$ within 2^n steps, then $\langle i, x, \rho \rangle \in B$ for at most $2n \cdot 2^{3n}$ strings $\langle i, x, \rho \rangle$ with $|\rho| = 4n$.*

Proof. At the end of the stage (i, x) all $\langle i, x, \rho \rangle \in E$ (i.e., all $\langle i, x, \rho \rangle$ that are not yet fixed) are added either to B or to C . Since there are less than $2n \cdot 2^n$ stages $(j, y) \leq (i, x)$, it is sufficient to show that at most 2^{2n} strings $\langle i, x, \rho \rangle$ are removed from E during stage $(j, y) < (i, x)$ and during but before the end of stage (i, x) .

$\mathcal{M}_j(y)$ ($j, |y| \leq n$) performs at most 2^n queries of the form “ $z \in L(\mathcal{N}_k^B)?$ ” with $|z| \leq 2^n$. For each of these queries the size of F (in the oracle construction) is bounded by $|z| \leq 2^n$. Thus for each query “ $z \in L(\mathcal{N}_k^B)?$ ” at most $\#F \leq 2^n$ strings (possibly of the form $\langle i, x, \rho \rangle$) are removed from E . At the end of stage $(j, y) < (i, x)$ only strings $\langle j, y, \rho \rangle$ are added to B or C and thus removed from E .

□

Our next lemma asserts that B does not add much power to Δ_2 -machines. The proof of this fact is much more difficult than the proof of the corresponding Lemma 2.

Recall that the construction of B does not completely determine the oracle B : when “ $y \in L(\mathcal{N}_k^B)$ ” is fixed, we can choose different sets $D \subseteq E$ such that $y \in L(\mathcal{N}_j^{B \cup D})$ corresponding to different accepting computations of $\mathcal{N}_j(y)$. Thus by appropriate choice arbitrary complex (even undecidable) oracle sets B may turn out. The proof of Lemma 4 yields the construction of one oracle set B consistent with the above described construction and thus with the properties of Lemma 3. In the rest of the paper B denotes this set.

Lemma 4.

There is an oracle B (which is one of the possible sets that turn out from the “construction of oracle B ”) such that

$$L_B = \{\$^i x \mid \mathcal{M}_i^B \text{ is a } \Delta_2\text{-machine accepting } x \text{ in time } 2^{|x|}, i < |x|\} \\ \in \Delta_2\text{TIME}(2^{2^{1n}}).$$

Proof. Similarly as in the proof of Lemma 2 the Δ_2 -machine \mathcal{M} with input $\$^i x$ will simulate all stages of the oracle construction up to stage (i, x) .

Again we record the outcome b of $\mathcal{M}_j^B(y)$ on stage (j, y) in a list Z of triples $\langle j, y, b \rangle$. The positive or negative answers to the oracle queries are recorded in the additional lists X and Y . X and Y are (initially empty) lists of oracle strings of the form $\langle k, z, \rho \rangle$ ($|\rho| = 4 \cdot |z|, k < |z|$) which are known to be in B (or not in B , respectively). Let E be defined as in the “construction of oracle B ”, i.e., on stage (j, y)

$$E = \{\langle k, z, \rho \rangle \mid (k, z) \geq (j, y), k < |z|, |\rho| = 4 \cdot |z|\} \setminus (X \cup Y).$$

In order to simulate queries “ $z \in L(\mathcal{N}_k^B)$?” we will use the following universal set L , which determines on stage (j, y) whether or not there is an augmentation of the current B consistent with the previous oracle queries (recorded in X, Y, Z) such that the nondeterministic machine $\mathcal{N}_k^{B \cup D}$ starting in some configuration c can reach an accepting configuration within t steps:

$$\$^k c \$X \$Y \$Z \$^j \$y \$^t \in L \iff \mathcal{N}_k \text{ starting in configuration } c \text{ accepts within } t \text{ steps, where the oracle queries “} a \in B\text{?” are replaced as follows:}$$

(1) “ $a \notin B$ ” if a has not the form $\langle l, u, \rho \rangle$ with $l < |u|$, $|\rho| = 4 \cdot |u|$.

For the other cases assume $a = \langle l, u, \rho \rangle$, $l < |u|$, $|\rho| = 4 \cdot |u|$.

- (2) “ $a \in B$ ” if a is contained in the list X or if $(l, u) < (j, y)$, $a \notin Y$ and $(l, u) \in Z$.
- (3) “ $a \notin B$ ” if $a \in Y$ or if $(l, u) < (j, y)$, $a \notin X$ and $(l, u) \notin Z$.
- (4) Otherwise ($a \in E$) replace the query by a nondeterministic choice. (If the same string a is queried more than once, the choices must be consistent. Thus a nondeterministic machine which accepts L has to record these choices.)

It is easy to see that $L \in NTIME(k \cdot t \cdot (|X| + |Y| + |Z|)) \subseteq NTIME(n^3)$.

Suppose we are simulating stage $(j, y) \leq (i, x)$.

Using L a query of $\mathcal{M}_j(y)$ of the form “ $z \in L(\mathcal{N}_k^B)$?” can be replaced by a sequence of queries “ $p \in L$?”, which yields a stepwise construction of an accepting path of \mathcal{N}_k^B , and appropriate augmentation of X or Y :

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c := initial configuration of  $\mathcal{N}_k(z)$ ;
t := |z|;
IF  ${}^k c X Y Z {}^j y {}^t \in L$  THEN
  WHILE t > 0 AND c is not accepting DO
    BEGIN
      IF the next step of  $\mathcal{N}_k$  is an oracle query “ $a \in B$ ?”
      and a is not yet recorded in X or Y THEN
        BEGIN
          add a to X;
          c' := next configuration if a  $\in B$ ;
          IF  ${}^k c' X Y Z {}^j y {}^{t-1} \in L$  THEN
            BEGIN
              remove a from X;
              add a to Y;
              c' := next configuration if a  $\notin B$ 
            END
          END
        { the next step is a nondeterministic choice }
        determine a next configuration c' with  ${}^k c' X Y Z {}^j y {}^{t-1} \in L$ 
        { at least one c' has this property };
      t := t - 1;
      c := c'

```

END;
IF c is an accepting configuration of \mathcal{N}_k
THEN “ $z \in L(\mathcal{N}_k^B)$ ” ELSE “ $z \notin L(\mathcal{N}_k^B)$ ”.

At the end of the stage (j, y) (i.e., when \mathcal{M}_j reaches an accepting configuration or else after $2^{|y|}$ simulation steps) record the outcome of $\mathcal{M}_j(y)$: if \mathcal{M}_j accepts y within $2^{|y|}$ steps, add (j, y) to Z .

The oracle B constructed by this procedure is determined by

$a \in B \iff a = \langle i, x, \rho \rangle$, $i < |x|$, $|\rho| = 4 \cdot |x|$ and after stage (i, x) of the simulation either $a \in X$ or $a \notin Y$ and $(i, x) \in Z$.

On stage $(j, y) \leq (i, x)$ \mathcal{M} simulates at most 2^n ($n = |x|$) steps. The simulation of a query “ $z \in L(\mathcal{N}_k^B)$ ” costs $|z| \leq 2^n$ steps and 2^n queries to the oracle B times the cost for looking at and updating the lists X , Y and Z . These can contain up to $2n \cdot 2^{3n}$ elements of length 2^{2n} . Thus the total costs for all $2n \cdot 2^n$ stages up to (i, x) are bounded by $4n^2 \cdot 2^{6n}$ and

$$L_B \in DTIME(2^{7n})^{NTIME(n^3)} \subseteq DTIME(2^{21n})^{NTIME(n)} = \Delta_2 TIME(2^{21n}).$$

□

Proof of Theorem 2. Follows from Lemma 3 and Lemma 4 in the same way as Theorem 1 from Lemma 1 and Lemma 2.

□

4 Consequences

The sets A and B have many interesting properties. Perhaps the most interesting is that randomized reduction can be exponentially more powerful than deterministic or nondeterministic reduction (cf. [AM 77]).

Definition (Reducibilities)

$X \leq_\gamma Y$ $:\Leftrightarrow$ there is a polynomial time bounded NTM \mathcal{M} with:

- (1) For every input x there is at least one computation which produces an output.
- (2) $\forall(x, y) \mathcal{M}(x) = y \Rightarrow [x \in X \Leftrightarrow y \in Y]$

$X \leq_{UR} Y$ $:\Leftrightarrow$ there is a polynomial time bounded PTM \mathcal{M} with:

- (1') every computation produces an output
- (2a) $x \in X \Rightarrow \mathcal{M}(x) \in Y$
- (2b) $x \notin X \Rightarrow \text{Prob}[\mathcal{M}(x) \notin Y] > \frac{3}{4}$ (unfaithful R-reduction)

$X \leq_{BPP} Y$ $:\Leftrightarrow$ as \leq_{UR} with (1'), (2b), and (2a')

(2a') $x \in X \Rightarrow \text{Prob}[\mathcal{M}(x) \in Y] > \frac{3}{4}$.

Obviously $X \leq_{\gamma} Y \Rightarrow X \in NP^Y$, $X \leq_{DTIME(T)} Y \Rightarrow X \in DTIME(T)^Y$.

Theorem 3.

UR-Reductions are exponentially more powerful than DTIME-reductions.

- (1) $\forall X \in EXPTIME$, $X \leq_{UR} \bar{A}$ and $\bar{X} \leq_{UR} \bar{A}$
(2) $\forall k \forall T \in \mathcal{O}(2^{n^k})$, $\exists X \in EXPTIME$, $X \not\leq_{DTIME(T)} \bar{A}$.

Proof.

- (1) follows (by polynomial padding) from Lemma 1.
(2) Suppose $T \in \mathcal{O}(2^{n^k})$.
 $X \leq_{DTIME(T)} \bar{A} \Rightarrow X \in DTIME(T)^{\bar{A}} \Rightarrow X \in DTIME(2^{6 \cdot n^k})$ (by Lemma 2), which is not true for all $X \in EXPTIME$.

□

Theorem 4.

BPP-reductions are exponentially more powerful than nondeterministic (and than γ -) reductions (cf. [AM 77]):

- (1) $\forall X \in \Delta_2 EXPTIME$, $X \leq_{BPP} B$
(2) $\forall k, \forall T \in \mathcal{O}(2^{n^k})$, $\Delta_2 TIME(T)^B \not\subseteq \Delta_2 EXPTIME$.

Proof.

- (1) follows from Lemma 3.
(2) as in a proof of Theorem 3 using Lemma 4.

□

This result can be strengthened to randomized NC^1 -reducibility (see next Section).

We list some other consequences for our oracles with hints how to prove them (to shorten the formulas we denote $EXPTIME$ by E):

- (1) $P^A \subsetneq ZPP^A = NP^A = PH^A = E = E^A \subsetneq ZPE^A = E^E = DTIME(2^{2^n})$.

- (2) $P^B \subsetneq NP^B \subsetneq \Delta_2 P^B \subsetneq BPP^B = \Sigma_2 P^B = PH^B = \Delta_2 E = E^B = NE^B = \Delta_2 E^B \subsetneq BPE^B = \Sigma_2 E^B = EH^B = E^{\Delta_2 E} = DTIME(2^{2^n})^B = \Delta_2 TIME(2^{2^n})^B$.
(The inclusions 1 to 3 are strict because otherwise the polynomial hierarchy collapses at the level $\Delta_2 P^B$ and $\Delta_2 P^B = \Sigma_2 P^B = \Delta_2 E^B$, which is impossible.)
- (3) A is not hard for ZPP under polynomial Turing reducibility unless $E = ZPE$.
($P^A \supseteq ZPP \Leftrightarrow E = E^A = E^{P^A} \supseteq E^{ZPP} = ZPE$)
- (4) B is complete for $\Delta_2 EXPTIME$ under \leq_{BPP} but not NP -hard even under polynomial Turing reducibility, unless $\Delta_2 EXPTIME = \Delta_3 EXPTIME$.
($P^B \supseteq NP \Rightarrow \Delta_2 E = \Delta_2 E^{P^B} \supseteq \Delta_2 E^{NP} = \Delta_3 E$)
- (5) If $\Delta_2 E \neq \Delta_3 E$, then $NP^B \not\subseteq coNP$.
($NP^B \supseteq coNP \Rightarrow NP^{NP} \subseteq NP^B \Rightarrow \Delta_3 E \subseteq \Delta_2 E^B = \Delta_2 E$)
- (6) If $\Delta_2 E \neq \Sigma_2 E$, then $\Delta_2 P^B \not\subseteq \Sigma_2 P$.
($\Delta_2 P^B \supseteq \Sigma_2 P \Rightarrow \Delta_2 E = \Delta_2 E^B = E^{\Delta_2 P^B} \supseteq E^{\Sigma_2 P} = \Sigma_2 E$)

5 Small Circuits

Since BPP has small circuits ([A 78], [BG 81]), it follows from Theorem 2 that $\Delta_2 EXPTIME$ has small (polynomial size) circuits relative to oracle B . In this context, however, we are able to prove some stronger statements.

Definition (cf. e.g. [C 85], [KR 90])

A Monte Carlo circuit with n input variables x_1, \dots, x_n is a boolean circuit C with $n + m$ inputs $x_1, \dots, x_n, y_1, \dots, y_m = x, y$ such that for all input values a_1, \dots, a_n (corresponding to variables x_1, \dots, x_n)

$$\#\{(b_1, \dots, b_m) \mid f_C(a_1, \dots, a_n, b_1, \dots, b_m) = 1\} \notin \{2^{m-2}, \dots, 3 \cdot 2^{m-2}\}.$$

A Las Vegas Circuit is a boolean circuit C with $n+m+1$ inputs $x_1, \dots, x_n, y_1, \dots, y_m, z = x, y, z$ such that for all a_1, \dots, a_n and for either $c = 0$ or $c = 1$

$$\#\{b_1, \dots, b_m \mid f_C(a_1, \dots, a_n, b_1, \dots, b_m, c) = c\} > 2^{m-1}$$

and for all b_1, \dots, b_m

$$f_C(a_1, \dots, a_n, b_1, \dots, b_m, \bar{c}) = c.$$

If the output is equal to the last input bit c , then the output value is always correct. If the output is different from the last input bit (which can happen with the probability smaller than $1/2$) the output may be false. It is easy to convert

such a Las Vegas circuit into another one with almost the same size and two output bits; the second bit is 1 with high probability and asserts that the first bit is the correct output. (These circuits correspond in a direct way to the usual definition of Las Vegas machines with the output ‘0’, ‘1’, and ‘?’.)

As usual we measure the size and depth of circuits in terms of n , the number of normal input variables. By $[U-]SIZE(f)$ ($[U-]DEPTH(f)$) we denote the class of functions computed by [log-space uniform] circuit families with size (depth) bounded by $\mathcal{O}(f(n))$. We denote the corresponding Monte Carlo and Las Vegas classes by $BPSIZE(f)$, $BPDEPTH(f)$, $ZPSIZE(f)$, $ZPDEPTH(f)$. The class $U-BPDEPTH(\log n)$ is usually called RNC^1 , and $U-BPSIZE(poly) = BPP$, $U-ZPSIZE(poly) = ZPP$.

Theorem 5

- (1) $(ZNC^1)^A := U-ZPDEPTH(\log)^A = EXPTIME^A = EXPTIME$
- (2) $(RNC^1)^B := U-BPDEPTH(\log)^B = \Delta_2 EXPTIME^B = \Delta_2 EXPTIME$

Proof. (1) Suppose L and $\Sigma^* \setminus L$ are accepted by some Turing machine in time 2^{n^k} . Then there is a machine \mathcal{M}_i which accepts $L_1 := \{x \mid 0^{n^k} \mid x \in L\}$ and a machine \mathcal{M}_j which accepts $L_2 := \{x \mid 0^{n^k} \mid x \notin L\}$ in time 2^n . The following Las Vegas circuit C_n with oracle A decides $L \cap \Sigma^n$:

Obviously $(C_n)_{n \in \mathbb{N}}$ is log-space uniform and the depth is $\mathcal{O}(\log n^k) = \mathcal{O}(\log n)$. By the construction of the oracle A the answer ‘yes’ (i.e., $a = 1$) is always true. Thus the output $v = c$ is always true and it is easy to check that the (C_n) are Las Vegas circuits.

The proof of (2) is similar. □

For a weaker version of Theorem 5 cf. [W 83]. This Theorem indicates that depth-bounded Las Vegas circuits may be much more powerful than even unbounded-error space-bounded machines:

$$PrSPACE(\log)^X \subseteq DSPACE((\log n)^2)^X \text{ for every oracle } X \text{ [BCP 83],}$$

$$(ZNC^1)^A = U-ZPDEPTH(\log)^A = PSPACE^A = EXPTIME^A.$$

Thus the well-known relations between circuit depth and space may not be true in the probabilistic (even Las Vegas) case.

Using methods of [BG 81] it is easy to show, that $BPDEPTH(\log)^X \subseteq DEPTH(\log)^X$ for every oracle X .

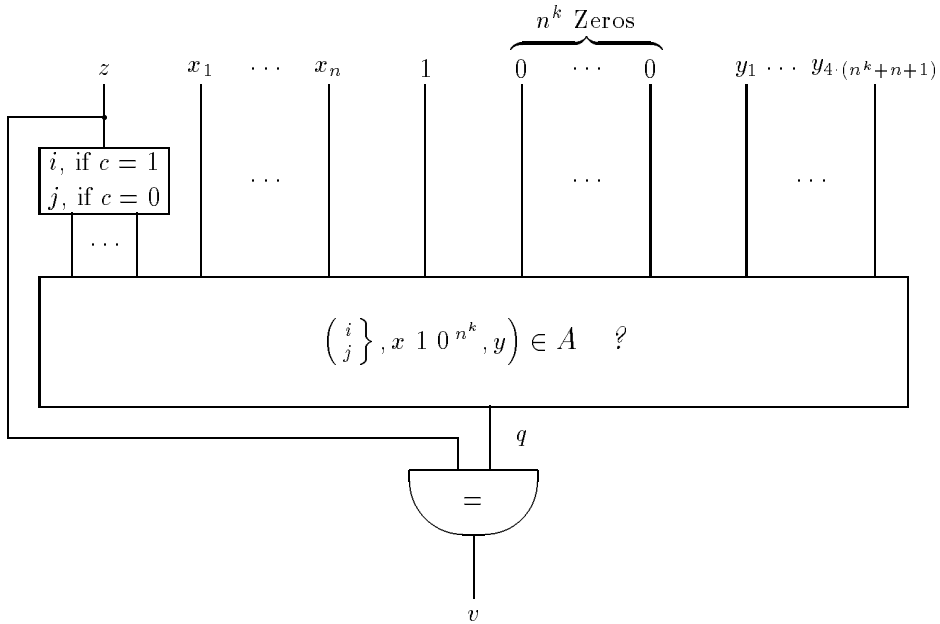


Fig.2

Corollary

$$\Delta_2 EXPTIME^B \subseteq DEPTH(\log)^B \subseteq SIZE(poly)^B$$

□

On the other hand it is known ([K 82]) that

$$\nabla_2 EXPTIME^X \not\subseteq SIZE(poly)^X$$

for every oracle X .

Figure 3 summarizes the known containments and our results.

Our next corollary reformulates Theorem 5 in terms of the power of reducibilities.

For every complexity class C one can define a reducibility \leq_C by $X \leq_C Y \Leftrightarrow X \in C^Y$ (not all such reducibilities make sense; most are not transitive). Well known examples are the polynomial Turing (“Cook”) reducibility \leq_P and the NC^1 -reducibility \leq_{NC^1} .

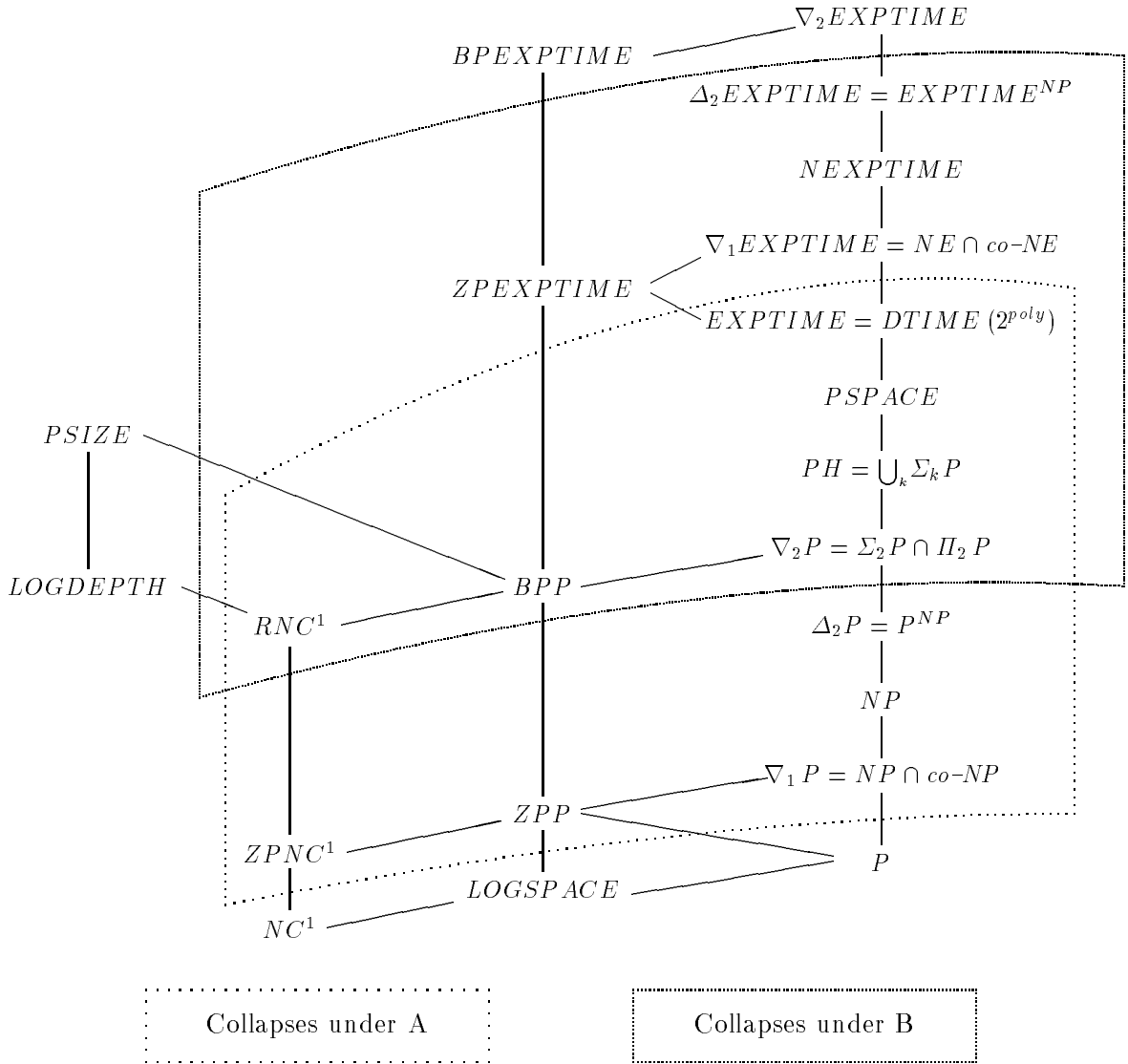


Fig.3

Corollary

- (1) ZP-DEPTH bounded reductions are double exponentially more powerful than deterministic time bounded Turing reductions
- (2) RNC¹ reductions are double exponentially more powerful than Δ₂-time bounded Turing reductions.

Proof

- (1) $X \leq_{U-ZPDEPTH(\log)} A$ for any $X \in EXPTIME$,
whereas for $X \in EXPTIME \setminus DTIME(2^{n^k})$ $X \not\leq_{DTIME(2^{n^k})} A$.
- (2) $X \leq_{RNC^1} B$ for any $X \in \Delta_2 EXPTIME$,
whereas for $X \notin \Delta_2 TIME(2^{n^k})$ $X \not\leq_{\Delta_2 TIME(2^{n^k})} B$.

□

Finally let us consider linear size circuits, a very important special case. It is easy to see that

$$\Delta_2 EXTIME^B \subseteq SIZE(n)^B;$$

in fact even

$$\Delta_2 EXTIME = \Delta_2 EXTIME^B \subseteq (\text{nonuniform}) DEPTH\text{-}SIZE(\log, \text{lin})^B.$$

On the other hand there is some k such that

$$\nabla_2 TIME(n^k)^X \not\subseteq SIZE(n)^X$$

for every oracle X ([K 82]).

We cannot rule out that BPP or even $BPEXTIME$ has linear size circuits. In [FS 89] the existence of an oracle C with $BPP^C \subseteq SIZE(n)^C$ is claimed, but unfortunately the proof contains a gap. If $BPP^C \subseteq SIZE(n)^C$, then $NP^C \not\subseteq SIZE(n)^C$ and $BPP^C \subsetneq \nabla_2 P^C$.

6 Conclusion

Our results show that the randomized computation can be extremely powerful when compared with deterministic computation in a relativized context, even though randomization has almost no additional power in the presence of random oracles.

We have constructed oracles A and B with maximal collapse between polynomial and exponential classes without known strict inclusion:

$$\begin{aligned} ZPP^A &= \nabla_1 P^A = \Delta_1 E^A = \Delta_1 E (= EXPTIME), \\ BPP^B &= \nabla_2 P^A = \Delta_2 E^B = \Delta_2 E. \end{aligned}$$

It is an open question, if such oracles with maximal collapse exist also on other levels of the polynomial and exponential hierarchies, i.e., whether there exists C such that for some $k > 2$,

$$\nabla_k P^C = \Delta_k E^C = \Delta_k E.$$

It seems that the methods presented in this paper cannot be applied directly to higher levels, since no probabilistic class is known below $\Sigma_k P$ and not below $\Delta_k P$ ($k > 2$).

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