

Scaled Dimension and the Berman-Hartmanis Conjecture

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Abstract

In 1977, L. Berman and J. Hartmanis [BH77] conjectured that all polynomial-time many-one complete sets for NP are pairwise polynomially isomorphic. It was stated as an open problem in [LM99] to resolve this conjecture under the measure hypothesis from quantitative complexity theory. In this paper we study the polynomial-time isomorphism degrees within $\text{deg}_m^p(SAT)$ in the context of polynomial scaled dimension. Our results are the following:

1. We consider scaled dimensions of order in between -2 and -3 . Especially we define scaled dimensions $\text{dim}_p^{(-2,k)}$, $k \in \mathbb{N}$.
2. Let $ISO_m^p(SAT)$ denote the polynomial-time isomorphism degree of SAT . While for each k , $\text{dim}_p^{(-2,k)}(\text{deg}_m^p(SAT)) = \text{dim}_p^{(-2,k)}(NP)$, if r is a growth rate function of order smaller than every order $(-2, k)$, then $\text{dim}_p^{(r)}(ISO_m^p(SAT)) = 0$.
3. We consider the class of disjoint unions $L_1 \oplus L_2$ of NP -complete languages L_1, L_2 such that L_1 and L_2 are polynomially isomorphic. We show that for $|i| \leq 2$ the i -th order scaled dimension of this class equals that of NP . The same holds for the scaled dimensions $\text{dim}_p^{(-2,k)}$.

1 Introduction

The Berman-Hartmanis Conjecture, also known as the Isomorphism Conjecture, states that all NP -complete languages are pairwise polynomially isomorphic [BH77], where two sets A and B are polynomially isomorphic iff there exists a bijective polynomial-time computable, polynomial-time invertible reduction from A to B . The Isomorphism Conjecture is unsolved even under assumption $P \neq NP$. Kurtz et al. [KMR89] proved the failure of the Isomorphism Conjecture relative to a random oracle, and Rogers [Rog95] was able to construct an oracle relative to which the conjecture holds and one-way functions exist.

Lutz [Lut00] defined polynomial dimension as a generalization of Hausdorff dimension and gave a characterization of polynomial dimension in terms of efficiently computable

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gales. While small-span theorems were proved for polynomial measure [JL95], Ambos-Spies et al. [ASMRS01] proved the impossibility of small span theorems for polynomial dimension. Scaled dimension was introduced by Hitchcock, Lutz and Mayordomo [HLM] in order to overcome certain limitations and difficulties of polynomial dimension. Hitchcock [Hit04] gives several results concerning scaled dimensions of lower and upper spans and of polynomial-time degrees. Especially he shows that for $|i| \leq 2$, the i -th order scaled dimension of the polynomial-time m -degree of SAT equals that of NP , while for $i = -3$ the i -th order scaled dimension of this degree vanishes. It was stated in [LM99] as an open problem to resolve the Isomorphism Conjecture under reasonable hypotheses from Quantitative Complexity Theory.

In this paper we study scaled dimensions of polynomial-time isomorphism degrees inside NP . We give the following refinement of the scaled-dimension results obtained in [Hit04]: We define a class of growth rates $r_{-2,k}$, $k \in \mathbb{N}$ of order in between -3 and -2 . It turns out that for each k , the associated scaled dimension of the polytime m -degree of SAT equals that of NP , while for each growth rate r that is in a certain sense of lower order than all the $r_{-2,k}$, the scaled dimension of the polynomial-time isomorphism degree of SAT vanishes.

Furthermore we consider classes of disjoint unions $L_1 \oplus L_2$ of languages L_1, L_2 from NP . We prove that for each $|i| \leq 2$ as well as for each growth rate $r_{-2,k}$, the according scaled dimension of the set of all $L_1 \oplus L_2$ such that L_1, L_2 are in the polytime m -degree of SAT and such that L_1 and L_2 are polynomial-time isomorphic equals the scaled dimension of NP , while for scales order lower than that of every $r_{-2,k}$, the scaled dimension of this class is equal to 0. This result is obtained by combining techniques from [Hit04] with a new operator that transforms a disjoint union $L_1 \oplus L_2$ into a disjoint union of two polynomial-time isomorphic sets.

The rest of the paper is organized as follows: In section 2 we give provide some standard notions and definitions concerning p -dimension and scaled dimensions. In section 3 we introduce the new class of growth functions of order between -3 and -2 . In section 4 we consider scaled dimensions of the polynomial-time isomorphism degree of SAT , and in section 5 we study classes of disjoint unions of languages from NP .

2 Preliminaries

Let \leq_m^p denote polynomial-time many-one reducibility. Given a set $A \subseteq \{0, 1\}^*$, let $P_m(A)$ and $P_m^{-1}(A)$ denote the lower cone and upper cone of A with respect to polynomial-time many-one reducibility, i.e. $P_m(A) = \{B \subseteq \{0, 1\}^* | B \leq_m^p A\}$, $P_m^{-1}(A) = \{B \subseteq \{0, 1\}^* | A \leq_m^p B\}$. The polynomial-time m -degree of A is $\text{deg}_m^p(A) = P_m(A) \cap P_m^{-1}(A)$. Two sets $A, B \subseteq \{0, 1\}^*$ are *polynomially isomorphic* (denoted as $A \simeq_p B$) iff there exists a bijection $f: \{0, 1\}^* \rightarrow \{0, 1\}^*$ such that both f and f^{-1} are polynomial-time computable and f is a many-one reduction from A to B . Let $ISO_m^p(A)$ denote the polynomial-time isomorphism degree of A , i.e. $ISO_m^p(A) = \{B \subseteq \{0, 1\}^* | A \simeq_p B\}$.

Resource-bounded measure and resource-bounded dimension [Lut00] are used to study the quantitative structure of complexity classes. Hitchcock, Lutz and Mayordomo [HLM] defined scaled dimension which was subsequently used in order to study quantitative prop-

erties of complexity classes (see for instance [Hit04], [HLVM], [HPV]).

A martingale is a function $d: \{0, 1\}^* \rightarrow [0, \infty)$ such that for each $x \in \{0, 1\}^*$, $d(x0) + d(x1) = 2 \cdot d(x)$. A martingale d succeeds on a language $L \subseteq \{0, 1\}^*$ iff $\limsup_{n \rightarrow \infty} d(A|n) = \infty$. $S^\infty[d]$ is the set of all languages $L \subseteq \{0, 1\}^*$ on which d succeeds. Let $\mathcal{C} \subseteq 2^{\{0,1\}^*}$ be a class of languages. \mathcal{C} has p -measure zero ($\mu_p(\mathcal{C}) = 0$) iff there is some polynomial-time computable martingale d such that $\mathcal{C} \subseteq S^\infty(d)$. As a generalization of Hausdorff dimension, p -dimension was characterized in terms of the growth rate of martingales. The p -dimension of a class $\mathcal{C} \subseteq 2^{\{0,1\}^*}$ is defined the infimum of all $s > 0$ such that there is some polytime-computable martingale d such that for all $A \in \mathcal{C} \exists^\infty n d(A|n) \geq (1 - s) \cdot n$. Lutz et al. [HLM] defined $g(n, s)$ -scaled dimension by replacing martingales by g -scaled s -supergales in the above definition. A function $d: \{0, 1\}^* \rightarrow [0, \infty)$ is called g -scaled s -supergale iff for each $x \in \{0, 1\}^*$, $d(x) \geq 2^{-\Delta g(|x|, s)}(d(x0) + d(x1))$, where $\Delta g(m, s) = g(m + 1, s) - g(m, s)$. Equivalently scaled dimension can be defined in terms of *growth rate functions*. A function $r: H \times [0, \infty) \rightarrow \mathbb{R}$, $H = (a, \infty)$ for some $a \in \mathbb{R} \cup \{-\infty\}$ is called a *growth rate function* iff the following conditions hold:

- (1) There exist constants c, c' such that for each $n \in H$, $r(n, 1) = c$, $r(n, 0) = n + c'$.
- (2) For n sufficiently large, $s \mapsto r(n, s)$ is strictly decreasing.
- (3) For all $s' > s \geq 0$, $\lim_{n \rightarrow \infty} [r(n, s') - r(n, s)] = -\infty$.

Especially, in [HLM] for each integer i , a scale g_i was defined, and $\dim_p^{(i)}$ denotes the i -th order scaled dimension. The associated growth rate function is given by $r_i(n, s) = n + 2^{-(i+1)} - g_i(n, s)$ for $i < 0$ and $r_i(n, s) = n - g_i(n, s)$ for $i \geq 0$. The following table lists the scales g_i and associated growth rate functions r_i for $|i| \leq 3$.

Scale	Growth Rate Function
$g_{-3}(n, s) = n + 4 - 2^{2^{(\log \log n)^{1-s}}}$	$r_{-3}(n, s) = 2^{2^{(\log \log n)^{1-s}}}$
$g_{-2}(n, s) = n + 2 - 2^{(\log(n))^{1-s}}$	$r_{-2}(n, s) = 2^{(\log(n))^{1-s}}$
$g_{-1}(n, s) = n + 1 - n^{1-s}$	$r_{-1}(n, s) = n^{1-s}$
$g_0(n, s) = s \cdot n$	$r_0(n, s) = (1 - s) \cdot n$
$g_1(n, s) = n^s$	$r_1(n, s) = n - n^s$
$g_2(n, s) = 2^{(\log n)^s}$	$r_2(n, s) = n - 2^{(\log n)^s}$
$g_3(n, s) = 2^{2^{(\log \log n)^s}}$	$r_3(n, s) = n - 2^{2^{(\log \log n)^s}}$

3 Growth Rates of Order between -2 and -3

For each non-negative integer k we define $r_{-2,k}(n, s) = 2^{(\log(n)) \frac{1-s}{k^s}}$. Note that $r_{-2,1} = r_{-2}$. The next lemma states that the functions $r_{-2,k}$ are growth rates of order in between -2 and -3 .

Lemma 3.1. *For each $k \geq 1$, $r_{-2,k}$ is a growth rate function. Furthermore, for each $s \in (0, 1)$ $r_{-2,k+1}(n, s) = o(r_{-2,k}(n, s))$, $r_{-2,k}(n, s) = \omega(r_{-3}(n, s))$.*

Proof. From the definition of functions $r_{-2,k}$ we obtain $r_{-2,k}(n, 1) = 2, r_{-2,k}(n, 0) = n$. Since for each $k \geq 1$, the exponent $\frac{1-s}{k^s}$ is monotone decreasing in s , property (3) in the definition of growth rate functions is satisfied as well. \square

We will additionally consider functions $r(n, s)$ with the following property.

Property (P)

For each $n \in \mathbb{N}$ there exists $k = k(n) \in \mathbb{N}$ such that for all $s \in [0, 1]$, $r(n, s) = r_{-2,k}(n, s)$. The function $n \mapsto k(n)$ satisfies $k(n) = o(\log \log(n))$. Furthermore, for each $k \in \mathbb{N}$ there exists $n_0 = n_0(k)$ such that for all $n \geq n_0$, if $r(n, s) = r_{-2,k'}(n, s)$ then $k' \geq k$.

Lemma 3.2. *If $r(n, s)$ has property (P), then $r(n, s)$ is a growth rate function.*

Proof. Since for each k , $r_{-2,k}$ is a growth rate function with $r_{-2,k}(n, 1) = 2, r_{-2,k}(n, 0) = n$, properties (1) and (2) hold for r . Furthermore, for each $s' > s \geq 0, n \in \mathbb{N}$ $\log \log r(n, s') = \beta \cdot \log \log r(n, s)$ for $\beta = \frac{1-s'}{1-s} < 1$, hence using $k(n) = o(\log \log(n))$, $\lim_{n \rightarrow \infty} (r(n, s') - r(n, s)) = -\infty$. \square

4 Scaled Dimension of $\text{ISO}_m^p(\text{SAT})$

In this section we consider the class $\text{ISO}_m^p(\text{SAT}) = \{A \in \text{NPC}(\leq_m^p) \mid A \simeq_p \text{SAT}\}$. If we can show that there exists some positive integer k such that for each polynomial isomorphism f from some set $A \in \text{NP}$ to SAT , for each $s < 1$ for infinitely many n , a sufficient majority of all strings of length n are mapped to strings of length at most $k \cdot n$ under f , then we can use an $O(2^{kn})$ -time bounded exhaustive search algorithm for SAT in order to obtain $\dim_p^{(r)}(\text{ISO}_m^p(\text{SAT})) = 0$. Hence we seek for some growth rate function r such that if such a condition is not satisfied by f , then f cannot be an isomorphism.

Theorem 4.1. *If $s \in (0, 1)$ and r is a growth rate function such that for all $j \in \mathbb{N}$ there are infinitely many n such that $r(2^{n^j+1} - 1, s) < 2^n$, then $\dim_p^{(r)}(\text{ISO}_m^p(\text{SAT})) \leq s$.*

Proof. Fix some $k \in \mathbb{N}$. Let $L \in \text{NP}$ and f be a polynomial-time computable honest reduction from L to SAT . We will show that if for almost all n ,

$$|\{x \in \{0, 1\}^* \mid |x| \leq n, |f(x)| \leq k \cdot |x|\}| < r(2^{n+1} - 1, s) \quad (\star)$$

then f cannot be onto. There exists some $j \in \mathbb{N}$ such that $f(x)$ can be computed in time $|x|^j$ and $|x|^{1/j} \leq |f(x)| \leq |x|^j$ for all $x \in \{0, 1\}^*$. Assume (\star) holds for almost all n . Then for appropriate n large enough, the number of strings being mapped to strings of length n under f is upper-bounded by $r(2^{n^j+1} - 1, s) < 2^n$ which implies that f cannot be onto. Therefore, if f is a polynomial-time isomorphism from L to SAT , then for infinitely many n ,

$$|\{x \in \{0, 1\}^* \mid |x| \leq n, |f(x)| \leq k \cdot |x|\}| \geq r(2^{n+1} - 1, s)$$

and hence we can use the fact $\text{SAT} \in E$ in order to construct a polynomial-time computable martingale d that $r(n, s)$ -succeeds on $\text{ISO}_m^p(\text{SAT})$. Let $\{f_j \mid j \in \mathbb{N}\}$ be an enumeration of all

polynomial-time computable functions. Let $Z_j = \{f_j^{-1}(SAT)\}$ iff f_j is a polynomial-time computable, polynomial-time invertible bijection and $Z_j = \emptyset$ otherwise. For j such that $Z_j \neq \emptyset$, we will define a martingale d_j for Z_j as follows:

$$d_j(xb) = \begin{cases} 2 \cdot d_j(x) & \text{if } |f(z_{|x|})| \leq k \cdot |z_{|x|}|, b = SAT(f(z_{|x|})) \\ 0 & \text{if } |f(z_{|x|})| \leq k \cdot |z_{|x|}|, b = 1 - SAT(f(z_{|x|})) \\ d_j(x) & \text{otherwise} \end{cases}$$

Obviously the d_j are uniformly computable in time $O(2^{cn})$ for some $c > 0$. Furthermore, for each j there are infinitely many $n \in \mathbb{N}$ such that $d(f_j^{-1}(SAT)|n) \geq 2^{r(n,s)}$. This establishes $\dim_p^{(r)}(ISO_m^p(SAT)) \leq s$. \square

Corollary 4.1. *If r is a growth rate function that has property (P), then*

$$\dim_p^{(r)}(ISO_m^p(SAT)) = 0.$$

Proof. Let r have property (P). Then for given s and j , for n such that $k(n) = k$,

$$r(2^{n^j+1} - 1, s) = 2^{(\log(2^{n^j+1}-1)) \frac{1-s}{k}} \leq 2^{(\log(2^{n^{2j}})) \frac{1-s}{k}} = 2^{n \frac{2j(1-s)}{k}}$$

hence if we choose n large enough such that $k > 2j$, the condition of Theorem 4.1 is satisfied by r . \square

5 On Disjoint Unions of NP Languages

Given two sets $A, B \subseteq \{0, 1\}^*$, their disjoint union $A \oplus B$ is defined as

$$A \oplus B = \{a0 \mid a \in A\} \cup \{b1 \mid b \in B\}$$

Note that $\{L_1 \oplus L_2 \mid L_1, L_2 \in NP\} = NP$ if we consider NP as a subset of the power set of $\{0, 1\}^*$. In this section we are concerned with subsets of $\{L_1 \oplus L_2 \mid L_1, L_2 \in NP\}$ and their scaled dimensions. First consider the set

$$\{L_1 \oplus L_2 \mid L_1, L_2 \in NP, L_1 \equiv_m^p L_2\}$$

(the set of all pairs of languages from NP that are polytime many-one equivalent).

Lemma 5.1. *Let \mathcal{C} be a class of languages $L \subseteq \{0, 1\}^*$ and \mathcal{D} be a class of disjoint unions of languages that contains $\{L \oplus L \mid L \in \mathcal{C}\}$. Then for every growth rate function r such that for almost all n , $r(2n, s) - n \geq r(n, t)$, $\dim_p^{(r)}(\mathcal{D}) < s$ implies $\dim_p^{(r)}(\mathcal{C}) \leq t$.*

Proof. Assume d is a polytime computable martingale s -succeeding on \mathcal{D} , hence especially on the set of all $L \oplus L$, $L \in \mathcal{C}$. Now we define a martingale d' as follows: Let

$$\begin{aligned} d(x_1x_1 \dots x_{n-1}x_{n-1} 0) &= \alpha \cdot d(x_1x_1 \dots x_{n-1}x_{n-1}), & \beta &= 2 - \alpha \\ d(x_1x_1 \dots x_{n-1}x_{n-1} 00) &= \alpha_1 \cdot d(x_1x_1 \dots x_{n-1}x_{n-1} 0), & \beta_1 &= 2 - \alpha_1 \\ d(x_1x_1 \dots x_{n-1}x_{n-1} 10) &= \alpha_2 \cdot d(x_1x_1 \dots x_{n-1}x_{n-1} 1), & \beta_2 &= 2 - \alpha_2 \end{aligned}$$

Then we define

$$\begin{aligned} d'(x_1 \dots x_{n-1} 0) &:= \frac{2 \cdot \alpha \cdot \alpha_1}{\alpha \cdot \alpha_1 + \beta \cdot \beta_2} \cdot d'(x_1 \dots x_{n-1}) \\ d'(x_1 \dots x_{n-1} 1) &:= \frac{2 \cdot \beta \cdot \beta_2}{\alpha \cdot \alpha_1 + \beta \cdot \beta_2} \cdot d'(x_1 \dots x_{n-1}) \end{aligned}$$

By definition and the martingale property of d ,

$$\frac{2}{\alpha \cdot \alpha_1 + \beta \cdot \beta_2} \geq \frac{2}{4} = \frac{1}{2}$$

Hence if the capital of d on $L \oplus L$ is growing by factor $f \in \{\alpha \cdot \alpha_1, \beta \cdot \beta_2\}$, then the capital of d' on L is growing by at least $\frac{f}{2}$. Thus from $d(L \oplus L | 1 \dots 2N) \geq 2^{r(2N,s)}$ we obtain $d'(L | 1 \dots N) \geq 2^{-N} \cdot 2^{r(2N,s)} = 2^{r(2N,s)-N}$ and hence the lemma follows. \square

Corollary 5.1. *For each $s \in (0,1)$, $\dim_p(NP) \geq 2s$ implies $\dim_p(\{L_1 \oplus L_2 \mid L_1, L_2 \in NP, L_1 \equiv_m^p L_2\}) \geq s$.*

Proof. For $r_0(n,s) = (1-s) \cdot n$ we obtain $r_0(2N,s) - N = (1-2s)N$, hence $\dim_p(NP) \geq 2s$ implies $\dim_p(\{L_1 \oplus L_2 \mid L_1, L_2 \in NP, L_1 \equiv_m^p L_2\}) \geq s$. \square

We will now consider disjoint unions of sets from NP that are in the same polynomial-time isomorphism degree.

Given $L \in NP$, we define the *even part* $L_e = \{x \mid x0 \in L\}$ and the *odd part* $L_o = \{x \mid x1 \in L\}$. By definition, $L \setminus \{\Lambda\} = L_e \oplus L_o$. Now we apply two operations to L_e and L_o . The first is an operator considered already in [Hitchcock] and [Ambos-Spiess et al.] which makes them NP -complete, the second - which does not affect the first - makes them polynomially isomorphic. We are able to define these operations in such a way that they produce only "minor changes", hence we will be able to relate scaled dimensions of the set

$$\{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\}$$

to the scaled dimensions of NP . We will now describe the two steps in detail.

First Step: Let F_k denote the map such that for every $n \in \mathbb{N}$, F_k maps all the strings of length n to the first 2^n strings of length n^k :

$$F_k: \{0,1\}^*, F_k(x) = x0^{|x|^k - |x|}$$

Given some $L = L_e \oplus L_o$, the operator $\mathcal{F}_k: \{0,1\}^\omega \rightarrow \{0,1\}^\omega$ applies the map F_k to L_e and L_o separately in order to encode a stretched version of SAT into them: $\mathcal{F}_k(L) = \mathcal{F}'_k(L_e) \oplus \mathcal{F}'_k(L_o)$ with

$$\mathcal{F}'_k(L_j) = (L_j \setminus F_k(\{0,1\}^*) \cup F_k(SAT)) \quad (j \in \{e,o\})$$

Note that for every $L \in NP$, $\mathcal{F}_k(L) \in \{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT)\}$.

Second Step: Given a disjoint union $L_1 \oplus L_2$ of two sets $L_1, L_2 \subseteq \{0,1\}^*$, the operator \mathcal{G}_k encodes L_1 into L_2 and L_2 into L_1 by encoding the strings of length n into the second 2^n strings of length n^k . Note that this does not affect the previous application of operator

\mathcal{F}_k . More precisely: Let $g_k: \{0, 1\}^* \rightarrow \{0, 1\}^*$ be defined by $g_k(x) = x10^{|x|^k - |x| - 1}$. Let $\mathcal{G}_k(L_1 \oplus L_2) = G'_k(L_1, L_2) \oplus G'_k(L_2, L_1)$ with

$$G'_k(A, B) = (A \setminus g_k(\{0, 1\}^*)) \cup g_k(B)$$

Now let

$$\begin{aligned} \mathcal{H}_k: \{L_1 \oplus L_2 \mid L_1, L_2 \in NP\} &\rightarrow \{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\} \\ \mathcal{H}_k(L_1 \oplus L_2) &= \mathcal{G}_k(\mathcal{F}_k(L_1 \oplus L_2)) \end{aligned}$$

Analysis. Given some martingale d succeeding on

$$\{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\},$$

we define the martingale d_k - informally - as follows: On the first $4 \cdot 2^n$ strings of length $n^k + 1$ it behaves neutrally (recall: $2 \cdot 2^n$ are needed for making L_e and L_o polynomial-time isomorphic, $2 \cdot 2^n$ are needed for making them NP -complete). On all the other positions, d_k behaves in the same way as d does.

Hence if $L' = \mathcal{H}_k(L)$, then $d_k(L \mid 1 \dots 2^{2n^k}) \geq \frac{d(L' \mid 1 \dots 2^{2n^k})}{2^{2 \cdot 2^n}}$. We conclude that if r is a growth rate function such that for almost all n , $r(2^{2n^k}, s) \geq 2 \cdot 2^n + r(2^{2n^k}, t)$ ($s < t$), then NP and $\{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\}$ have the same r -scaled dimension. Especially we obtain the following result.

Theorem 5.1. *For each growth rate function $r \in \{r_i \mid |i| \leq 2\} \cup \{r_{-2,k} \mid k \in \mathbb{N}\}$,*

$$\dim_p^{(r)}(\{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\}) = \dim_p^{(r)}(NP)$$

On the other hand, using the methods from section 4 we obtain the following result (cf. Theorem 4.1).

Theorem 5.2. *Let r be a growth rate function. If r has property (P), then*

$$\dim_p^{(r)}(\{L_1 \oplus L_2 \mid L_1, L_2 \in \deg_m^p(SAT), L_1 \simeq_p L_2\}) = 0.$$

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